Please type a plus sign (+) inside this box → +

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 29930.4500

First Inventor Karen Mack

Title DECORATIVE CANDLE

Express Mail Label No. EL608993215US

Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, D.C. 20231 CD-ROM or CD-R in duplicate, large table or Computer Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Program (Appendix) e, co Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Computer Readable Form (CRF) V Specification [Total Pages 3. 18 (preferred arrangement set forth below) Specification Sequence Listing on: - Descriptive title of the invention CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D paper - Reference to sequence listing, a table, or a computer program listing appendix Statements verifying identity of above copies C. - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) - Detailed Description - Claim(s) 37 CFR 3.73(b) Statement Power of Attorney 10. - Abstract of the Disclosure (when there is an assignee) English Translation Document (if applicable) 11. Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure 12. Citations Statement (IDS)/PTO-1449 5. Oath or Declaration Total Pages Preliminary Amendment 13. Newly executed (original or copy) a. Return Receipt Postcard (MPEP 503) 4. (Should be specifically itemized) Copy from a prior application (37 CFR 1.63(d)) Certified Copy of Priority Document(s) b. (for continuation/divisional with Box 18 completed) 15. (if foreign priority is claimed) DELETION OF INVENTOR(S) Request and Certification under 35 U.S.C. 122 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 Signed statement attached deleting inventor(s) or its equivalent. named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 17. Other: Application Data Sheet. See 37 CFR 1.76 6. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) of prior application No.: Divisional Continuation Group / Art Unit \_\_\_\_ Prior application information: Examiner\_ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS X Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) William F. Mulholland, II Name Snell & Wilmer L.L.P. One Arizona Center Address 400 East Van Buren 85004-2202 State Zip Code Phoenix Arizona City 602/382-6070 Telephone 602/382-6281 Country USA Registration No. (Attorney/Agent) **Name** (Print/Type) 45,684 William F. Mulholland, II

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Date

April 30, 2001

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Signature

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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$0.00

Co		
Application Number	TBA	
Filing Date	April 30, 2001	
First Named Inventor	Karen Mack	
Examiner Name	TBA	
Group Art Unit	TBA	
Attorney Docket No.	29930.4500	

METHOD OF PAYMENT		FEE CALCULATION (continued)							
1. The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:		3. ADDITIONAL FEES							
indicated fees and credit any overpayments to:  Deposit	Large I	Entity Fee	Small I	Intity Fee	Fac Bass	intion	Fee Paid		
Account	Code	(\$)	Code	(\$)	Fee Descr	•	ree Paid		
Number	105				Surcharge - late filing				
Account	127	50	227	25	Surcharge - late provis sheet	ional filing fee or cove	er		
Name Charge Any Additional Fee Required		130	139	130	Non - English specifica	ation			
Under 37 CFR §§ 1 16 and 1.17		2,520	147	2,520	For filing a request for	on			
Applicant claims small entity status See 37 CFR § 1 27		920*	112	920*	Requesting publication action	ner			
2. Payment Enclosed:		1,840*	113 1	,840*	Requesting publication action	r			
Check Credit card Money Other		110	215	55	Extension for reply with	nin first month			
FEE CALCULATION	116	390	216	195	Extension for reply with	nin second month			
	117	890	217	445	Extension for reply with	nin third month			
1. BASIC FILING FEE  Large Entity Small Entity	118	1,390	218	695	Extension for reply with	nin fourth month			
Fee Fee Fee Fee Description		1,890	228	945	Extension for reply with	sion for reply within fifth month			
Code (\$) Code (\$) Fee Paid  101 710 201 355 Utility filing fee 0.00	119	310	219	155	Notice of Appeal				
106 320 206 160 Design filing fee	120	310	220	155	Filing a brief in suppor	t of an appeal			
107 490 207 245 Plant filing fee	121	270	221	135	Request for oral hearing	ng			
108 710 208 355 Reissue filing fee		1,510	138	1,510	Petition to institute a p	ublic use proceeding			
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - una	voidable			
SUBTOTAL (1) \$0.00	141	1,240	241	620	Petition to revive - unir	tentional			
0081017(2(1)		1,240	242	620	Utility issue fee (or reissue)				
2. EXTRA CLAIM FEES  Extra Claims  Fee from below  Fee Paid  Total Claims  -20**=  0 X = 0.00  Independent  -3**=  0 X = 0.00  Claims  Multiple Dependent  Fee from below  Fee Paid  -3**=  0 X = 0.00  -3**=  Claims		440	243	220	Design issue fee				
		600	244	300	Plant issue fee				
		130	122	130	Petitions to the Commissioner				
		50	123	50	Processing fee under 37 CFR § 1.17(q)				
		180	126	180	Submission of Information Disclosure				
Large Entity Small Entity Fee Fee Fee Fee Fee Description		40	581	40	Statement  Recording each patent assignment per property				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20		740	0.40	255	(times number of prop	•			
102 80 202 40 Independent claims in excess of 3	146				Filing a submission after final rejection (37 CFR § 1.129(a))				
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))				
109 80 209 40 ** Reissue independent claims		710	279	355	Request for Continued	Examination (RCE)			
over original patent	169	900	169	900					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		of a design application  Other fee (specify)							
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SUBTOTAL (2) \$0.00		*Reduced by Basic Filing Fee Paid SUBTOTAL (3)							
**or number previously paid, if greater; For Reissues, see above	*Re	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)							
SUBMITTED BY			Complete (if applicable)						
Name (Print/Type) William F. Mulholland, II		Registration No. (Attorney/Agent)		). 	45,684 Telep	hone 602/3	602/382-6281		
Signature CO		0/	\		Date	April 3	0, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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William F. Mulholland, II
Associate
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wmulholland@swlaw.com

April 30, 2001

## VIA EXPRESS MAIL NO. EL608993215US

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

US Non-Provisional Patent Application, Mack, et al.

**DECORATAIVE CANDLE**Our File No.: 29930.4500

Dear Sir or Madam:

Re:

Enclosed are the following documents:

- 1. Utility Patent Application Transmittal;
- 2. Fee Transmittal for FY 2001;
- 3. Return Receipt Postcard (postage prepaid);
- 4. Glitter Candle Specification.

The required declaration and fees will be submitted subsequently. Please send correspondence regarding this application to the undersigned attorney at the address provided above. Please accord this application a serial number and filing date pursuant to 37 C.F.R. §1.53, and advise the undersigned thereof.

Sincerely,

Snell & Wilmer

William F. Mulholland, II

Reg. No. 45,684

WFM:bf Enclosures

cc: Richard G. Harrer (via regular mail)